SHARED SAVINGS PROGRAM PUBLIC REPORTING TEMPLATE

ACO Name and Location

Duke Connected Care, LLC Previous Names: N/A 3100 Tower Blvd, Ste 1100

Durham, NC 27707

ACO Primary Contact

Primary Contact Name: Jennifer Smith

Primary Contact Phone Number: 919-613-9719

Primary Contact Email Address: Jennifer.Smith730@Duke.edu

Organizational Information

ACO Participants:

ACO Participants	ACO Participant in Joint Venture
Beckford Avenue Medical Center, P.A.	N
BLUE RIDGE DERMATOLOGY ASSOCIATES	N
CAROLINA EAR NOSE & THROAT PA	N
CAROLINA PRIMARY CARE AND WOMEN'S HEALTH, PA	N
CARY CHILDRENS CLINIC PC	N
DUKE HEALTH INTEGRATED PRACTICE INC	N
Duke University Affiliated Physicians Inc	N
Duke University Health System Inc	N
IMPACT HEALTHCARE, P.A.	N
Lincoln Community Health Center, Inc.	N
NORTH CAROLINA DERMATOLOGY ASSOCIATES PLLC	N
North State Medical Center, PA	N
Primary Medical Care	N
Private Diagnostic Clinic, PLLC	N
Roxboro Medical Associates, P.A.	N
SOUTHPOINT FAMILY MEDICINE, PLLC	N
SOUTHWEST DURHAM FAMILY MEDICINE, PLLC	N
Sundar Internal Medicine Associates, PA	N
TRIANGLE PRIMARY CARE ASSOCIATES, PLLC	N
WILSON MEDICAL GROUP, PLLC	N

ACO Governing Body:

Member First Name	Member Last Name	Member Title/Position	Member's Voting Power (Expressed as a percentage)	Membership Type	ACO Participant Legal Business Name, if applicable
John	Yeatts	Chair	14% (1/7)	ACO participant representative	Duke Connected Care
Kevin	Shah	Manager	14% (1/7)	ACO participant representative	Duke University Affiliated Physicians
Blake	Cameron	Manager	14% (1/7)	ACO participant representative	Duke Health Integrated Practices
Michael	Lipkin	Manager	14% (1/7)	ACO participant representative	Duke Health Integrated Practices
Francis	Aniekwensi	Manager	14% (1/7)	ACO participant representative	Beckford Avenue Medical Center
Jonthan	Bae	Manager	14% (1/7)	ACO participant representative	Duke University Hospital
Verrappan	Sundar	Manager	14% (1/7)	ACO participant representative	Sundar Internal Medicine Associates

Key ACO Clinical and Administrative Leadership:

ACO Executive: John Yeatts, MD, MPH Medical Director: John Yeatts, MD, MPH Compliance Officer: Colleen Shannon, JD

Quality Assurance/Improvement Officer: John Paat, MD

Associated Committees and Committee Leadership:

Committee Name	Committee Leader Name and Position
Operating Committee	Vacant
Beneficiary Representative Committee	Jennifer Smith, Chair
Performance Improvement Subcommittee	John Paat, MD, Chair
Payer Strategy & Contracting Subcommittee	Stuart Smith, Chair
CIN Development & Credentialing Subcommittee	Paul Mosca, Chair

Types of ACO Participants, or Combinations of Participants, That Formed the ACO:

- Federally Qualified Health Center (FQHC)
- ACO professionals in a group practice arrangement

- Hospital employing ACO professionals
- Networks of individual practices of ACO professionals
- Rural Health Clinic (RHC)

Shared Savings and Losses

Amount of Shared Savings/Losses:

Second Agreement Period

- Performance Year 2023: \$13,611,708
- Performance Year 2022: \$13,976,853
- Performance Year 2021: \$0
- Performance Year 2020: \$16.715.924
- Performance Year 2019: \$14,169,583
- Performance Year 2018: \$0
- Performance Year 2017: \$9,483,194

First Agreement Period

- Performance Year 2016: \$0
- Performance Year 2015: \$0
- Performance Year 2014: \$0

Shared Savings Distribution:

Second Agreement Period

- Performance Year 2023
 - Proportion invested in infrastructure: 0%
 - o Proportion invested in redesigned care processes/resources: 25%
 - Proportion of distribution to ACO participants: 75%
- Performance Year 2022
 - Proportion invested in infrastructure: 0%
 - Proportion invested in redesigned care processes/resources: 25%
 - Proportion of distribution to ACO participants: 75%
- Performance Year 2021: NA
 - Performance Year 2020
 - o Proportion invested in infrastructure: 0%
 - Proportion invested in redesigned care processes/resources: 25%
 - o Proportion of distribution to ACO participants: 75%
- Performance Year 2019
 - Proportion invested in infrastructure: 0%
 - Proportion invested in redesigned care processes/resources: 25%
 - Proportion of distribution to ACO participants: 75%
- Performance Year 2018
 - Proportion invested in infrastructure: N/A
 - Proportion invested in redesigned care processes/resources: N/A
 - Proportion of distribution to ACO participants: N/A
- Performance Year 2017
 - Proportion invested in infrastructure: 0%
 - Proportion invested in redesigned care processes/resources: 25%
 - Proportion of distribution to ACO participants: 75%

First Agreement Period

- Performance Year 2016
 - Proportion invested in infrastructure: N/A
 - o Proportion invested in redesigned care processes/resources: N/A
 - Proportion of distribution to ACO participants: N/A
- Performance Year 2015
 - Proportion invested in infrastructure: N/A
 - Proportion invested in redesigned care processes/resources: N/A

- o Proportion of distribution to ACO participants: N/A
- Performance Year 2014
 - o Proportion invested in infrastructure: N/A
 - o Proportion invested in redesigned care processes/resources: N/A
 - Proportion of distribution to ACO participants: N/A

Quality Performance Results

2023 Quality Performance Results:

Quality performance results are based on the CMS Web Interface collection type.

Measure #	Measure Name	Collection Type	Rate	ACO Mean
CAHPS-1	CAHPS: Getting Timely Care, Appointments, and Information	CAHPS for MIPS	82.81	83.68
CAHPS-2	CAHPS: How Well Your Providers Communicate	CAHPS for MIPS	95.79	93.69
CAHPS-3	CAHPS: Patients' Rating of Provider	CAHPS for MIPS	93.93	92.14
CAHPS-4	CAHPS: Access to Specialists	CAHPS for MIPS	78.63	75.97
CAHPS-5	CAHPS: Health Promotion and Education	CAHPS for MIPS	66.18	63.93
CAHPS-6	CAHPS: Shared Decision Making	CAHPS for MIPS	61.02	61.60
CAHPS-7	CAHPS: Health Status/Functional Status	CAHPS for MIPS	74.15	74.12
CAHPS-8	CAHPS: Care Coordination	CAHPS for MIPS	89.93	85.77
CAHPS-9	CAHPS: Courteous and Helpful Office Staff	CAHPS for MIPS	94.80	92.31
CAHPS-11	CAHPS: Stewardship of Patient Resources	CAHPS for MIPS	30.90	26.69
Quality ID# 001	Diabetes: Hemoglobin A1c (HbA1c) Poor Control [1]	Web Interface	7.91 *	9.84 *
Quality ID# 110	Preventive Care and Screening: Influenza Immunization	Web Interface	91.75	70.76
Quality ID# 112	Breast Cancer Screening	Web Interface	85.12	80.36
Quality ID# 113	Colorectal Cancer Screening	Web Interface	84.64	77.14
Quality ID# 134	Preventive Care and Screening: Screening for Clinical Depression and Follow-up Plan	Web Interface	76.98	80.97
Quality ID# 226	Preventive Care and Screening: Tobacco Use: Screening and Cessation Intervention	Web Interface	84.62	79.29
Quality ID# 236	Controlling High Blood Pressure	Web Interface	74.91	77.80
Quality ID# 318	Falls: Screening for Future Fall Risk	Web Interface	98.97	89.42
Quality ID# 370	Depression Remission at Twelve Months	Web Interface	4.08 *	16.58 *
Quality ID# 438	Statin Therapy for the Prevention and Treatment of Cardiovascular Disease	Web Interface	85.52	87.05
Quality ID# 479	Hospital-Wide, 30-Day, All-Cause Unplanned Readmission (HWR) Rate for MIPS Groups [1]	Administrativ e Claims	0.1468 *	0.1553 *

Quality ID# 484	Clinician and Clinician Group Risk-standardized Hospital Admission Rates for Patients with Multiple Chronic Conditions [1]	Administrativ e Claims	null	35.39
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^{*} For these measures, a lower performance rate is indicative of better performance.

For previous years' Financial and Quality Performance Results, please visit: Data.cms.gov

Payment Rule Waivers

Skilled Nursing Facility (SNF) 3-Day Rule Waiver:

Our ACO uses the SNF 3-Day Rule Waiver, pursuant to 42 CFR § 425.612.

Waiver for Payment for Telehealth Services:

Our ACO clinicians provide telehealth services using the flexibilities under 42 CFR § 425.612(f) and 42 CFR § 425.61.

Fraud and Abuse Waivers

- On May 2, 2024, the DCC Board of Managers approved a participation waiver for the fiscal year 2025 operating budget funded by Duke University Health System. The funding provides for expenses related to personnel, services, goods, and facilities to support care coordination, beneficiary and provider engagement, and analytics services.
- On April 6, 2023, the DCC Board of Managers approved a participation waiver for the fiscal year 2024 operating budget funded by Duke University Health System. The funding provides for expenses related to personnel, services, goods, and facilities to support care coordination, beneficiary and provider engagement, and analytics services.
- On April 25, 2022, the DCC Board of Managers approved a participation waiver for the fiscal year 2023 operating budget funded by Duke University Health System. The funding provides for expenses related to personnel, services, goods, and facilities to support care coordination, beneficiary and provider engagement, and analytics services.
- On May 6, 2021, the DCC Board of Managers approved a participation waiver for the fiscal year 2022 operating budget funded by Duke University Health System. The funding provides for operating and capital expenses related to personnel, services, goods, and facilities to support improved care coordination, beneficiary and provider communications and engagement, and analytics services.
- On March 30, 2020, the DCC Board of Managers approved a participation waiver for the fiscal year 2021 operating budget funded by DUHS. The funding provides for operating and capital expenses related to personnel, services, goods, and facilities to support improved care coordination, beneficiary and provider communications and engagement, and analytics services.
- On May 2, 2019, the DCC Board of Managers approved a participation waiver for the fiscal year 2020
 operating budget funded by DUHS. The funding provides for operating and capital expenses related to
 personnel, services, goods, and facilities to support improved care coordination, beneficiary and provider
 communications and engagement, and analytics services.
- On May 10, 2018, the DCC Board of Managers approved a participation waiver for the fiscal year 2019
 operating budget funded by DUHS. The funding provides for operating and capital expenses related to
 personnel, services, goods, and facilities to support improved care coordination, beneficiary and provider
 communications and engagement, and analytics services.
- On May 11, 2017, the DCC Board of Managers approved a participation waiver for the fiscal year 2018
 operating budget funded by DUHS. The funding provides for operating and capital expenses related to
 personnel, services, goods, and facilities to support improved care coordination, beneficiary and provider
 communications and engagement, and analytics services.
- On April 9, 2016, the DCC Board of Managers approved a participation waiver for the fiscal year 2017
 operating budget funded by DUHS. The funding provides for operating and capital expenses related to
 personnel, services, goods, and facilities to support improved care coordination, beneficiary and provider
 communications and engagement, and analytics services.

- On April 14, 2015, the DCC Board of Managers approved a participation waiver for the fiscal year 2016
 operating budget funded by DUHS. The funding provided for operating and capital expenses related to
 personnel, services, goods, and facilities to support improved care coordination, beneficiary and provider
 communications and engagement, and analytics services.
- On January 13, 2015, DCC's Board of Managers approved a participation waiver for operating budgets for fiscal years 2014 and 2015 funded by DUHS. The funding provided for operating and capital expenses related to personnel, services, goods, and facilities to support improved care coordination, beneficiary and provider communications and engagement, and analytics services.
- On Dec 19, 2013, DCC's Board of Managers approved a pre-participation waiver for start-up expenses in an operating budget that were funded by Duke University Health System (DUHS). The arrangement provided in-kind staffing from DUHS for MSSP application and start up activities, and funding of legal and consulting services, and membership and meetings of the National Association of ACOs.